

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
3 April 2003 (03.04.2003)

(10) International Publication Number
WO 03/026688 A1

(51) International Patent Classification⁷: **A61K 39/00, 9/107, 9/127, A61P 31/00**

(74) Agents: ERRATT, Judy, A. et al; Gowling Lafleur Henderson LLP, Suite 2600, 160 Elgin Street, Ottawa, Ontario K1P 1C3 (US).

(21) International Application Number: **PCT/CA02/01446**

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(22) International Filing Date:
24 September 2002 (24.09.2002)

(84) Designated States (*regional*): ARIPO patent (GII, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, FR, IE, IL, IR, GB, GR, HU, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CR, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(30) Priority Data:
60/325,124 25 September 2001 (25.09.2001) US

Published:
with international search report

(71) Applicant (*for all designated States except US*): **PHARMADERM LABORATORIES, LTD. [CA/CA]; 111 Research Park, #109, Saskatoon, Saskatchewan S7N 3R2 (CA).**

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): **FOLDVARI, Marianna [CA/CA]; 210 Brookhurst Crescent, Saskatoon, Saskatchewan S7V 1C5 (CA). BACA-ESTRADA, Maria [CA/CA]; 64 Deerfox Drive, Ottawa, Ontario K2J 4V1 (CA).**



WO 03/026688 A1

(54) Title: **BIPHASIC LIPOSOMES CONTAINING IMMUNOGEN AND CPG, FOR STIMULATING AN IMMUNE RESPONSE**

(57) Abstract: A composition for improving the immune response in a subject is described. The composition includes biphasic lipid vesicles associated with an immunogen. In one embodiment a nucleic acid containing at least one cytosine-guanine (CpG) dinucleotide is associated with the lipid vesicles to achieve a synergistic immune response.

BIPHASIC LIPOSOMES CONTAINING IMMUNOGEN AND CPG, FOR STIMULATING AN IMMUNE RESPONSE

Field of the Invention

[0001] The present invention relates to compositions, kits, and methods for eliciting an immune response. More particularly, the invention relates to a lipid vesicle composition and to a lipid vesicle composition in combination with an oligonucleotide having a cytosine-guanine (CpG) dinucleotide motif, for eliciting an immune response to an antigen.

References

- [0002] Chanock, R.M., Lerner, R.A., Brown, F. and Ginsberg, H, "New Approaches to Immunization, Vaccines" 86, Cold Spring Harbor, N.Y. (1987).
- [0003] Klinman, D.M. et al., *Vaccine*, 17:19 (1999).
- [0004] Kreig, A.M. et al., *Pharmacology & Therapeutics*, 84:113 (1999).

Background of the Invention

[0005] Vaccines have traditionally consisted of live attenuated pathogens, whole inactivated organisms, or inactivated toxins. Although these have proved successful in the past, several drawbacks have limited their use against more challenging diseases such as hepatitis C or AIDS. First, certain live-attenuated vaccines can cause disease in immunosuppressed individuals by reverting to a more virulent phenotype. Second, whole inactivated vaccines (e.g., *Bordetella pertussis*) contain reactogenic components that can cause undesirable side effects. Third, some pathogens are difficult or even impossible to grow in culture (e.g., hepatitis B, hepatitis C, and human papillomavirus), making preparation of a vaccine problematic.

[0006] In the past decade, several new approaches to vaccine development have emerged that may have significant advantages over traditional approaches. These new approaches include recombinant protein subunits, synthetic peptides, and plasmid DNA. Although they offer advantages such as reduced toxicity, they are poorly immunogenic when administered alone. This is particularly true for vaccines based on recombinant proteins or peptides. Traditional vaccines are heterogeneous and contain many epitopes, some of which can provide additional

T-cell help or function as adjuvants (e.g., bacterial DNA in whole-cell vaccines). Therefore, a great need exists for immunological adjuvants that are potent, safe, and compatible with new-generation vaccines, including DNA vaccines.

Summary of the Invention

[0007] Accordingly, it is an object of the invention to provide an adjuvant that achieves an enhanced immune response relative to the response achieved in the absence of the adjuvant.

[0008] It is another object of the invention to provide a lipid vesicle adjuvant.

[0009] It is a further object of the invention to provide an adjuvant comprised of a mixture of biphasic lipid vesicles and an oligonucleotide having a CpG motif.

[0010] It is yet another object of the invention to provide a method of improving the immune response achieved by administering an immunogen in combination with a biphasic lipid vesicle by further including a CpG oligonucleotide.

[0011] In one aspect, the invention includes a composition for eliciting in a subject an immune response to an immunogen. The composition includes a suspension of biphasic lipid vesicles having a central core compartment containing an oil-in-water emulsion, and, entrapped in the biphasic lipid vesicles, an immunogen.

[0012] In one embodiment, the immunogen is an antigen derived from bacterial, viral, parasitic, plant, or fungal origin.

[0013] The immunogen is effective to elicit a humoral immune response, or alternatively, is effective to elicit a cell-mediated immune response.

[0014] In another embodiment, the immunogen is admixed with the vesicles. In another embodiment, the immunogen is entrapped in the vesicles.

[0015] In a preferred embodiment, the composition further comprises an oligonucleotide comprising one or more cytosine-guanine (CpG) dinucleotides. Generally, the CpG oligonucleotide is of the form X₁ CG X₂, where X₁ and X₂ are nucleotides. More generally, the CpG oligonucleotide is of the form N_nX₁ CG X₂N_m, where X₁, X₂, N_n, and N_m are nucleotides, and n and m individually range from 0 to about 100. Exemplary CpG oligonucleotides include TCCATGACGTTCCTGACGTT (SEQ ID NO:1), TCAACGGTT (SEQ ID NO:2), GACGGTT (SEQ ID NO:3), AGCGGTT (SEQ ID NO:4), AACGGCT (SEQ ID NO:5), or AACGGAT (SEQ ID NO:6), wherein C and G are unmethylated. In another

embodiment, the CpG oligonucleotide sequences comprises a T nucleotide on its 5' end, and exemplary sequences include TTCAACGTT (SEQ ID NO:7), TGACGTT (SEQ ID NO:8), TAGCGTT (SEQ ID NO:9), TAACGCT (SEQ ID NO:10), and TAACGAT (SEQ ID NO:11).

[0016] The CpG oligonucleotide sequence comprises typically between about 2 to about 250 nucleotides, more preferably 2-100 nucleotides, and still more preferably 8-100 nucleotides.

[0017] In yet another embodiment, the oligonucleotide has a phosphate backbone modification, such as a phosphorothioate backbone modification.

[0018] In another aspect, the invention includes a composition for eliciting in a subject an immune response to an immunogen. The composition comprises a suspension of biphasic lipid vesicles having a central core compartment containing an oil-in-water emulsion, and associated with the vesicles, (i) an immunogen and (ii) a CpG oligonucleotide.

[0019] The immunogen and the CpG oligonucleotide are admixed with the vesicles, in one embodiment. In another embodiment, the immunogen is entrapped in the vesicles. In still another embodiment, the CpG oligonucleotide is entrapped in the vesicles. In yet another embodiment, the immunogen and the CpG oligonucleotide are entrapped in the vesicles.

[0020] In another aspect, the invention includes a kit for preparation of a composition effective to elicit in a subject an immune response to an immunogen. The kit is comprised of (i) a biphasic lipid vesicle component; (ii) an immunogen component; and (iii) a CpG oligonucleotide component.

[0021] In another aspect, the invention includes a kit for preparation of a composition effective to elicit in a subject an immune response to an immunogen. The kit is comprised of (i) a first component of an immunogen entrapped in biphasic lipid vesicles and (ii) a second component of a CpG oligonucleotide. The two components are admixed to form a composition effective to elicit an immune response.

[0022] In another aspect, the invention includes a kit for preparation of a composition effective to elicit in a subject an immune response to an immunogen. The kit is comprised of (i) a biphasic lipid vesicle-entrapped CpG oligonucleotide; and (ii) an immunogen component. The two components are admixed to form a composition effective to elicit an immune response.

[0023] In another aspect, the invention includes an improvement in a composition comprised of a biphasic lipid vesicle and an immunogen. The improvement comprises including a CpG oligonucleotide in the composition. The improvement is effective to enhance the immune response to the immunogen relative to the response obtained by administration of the vesicles and the immunogen in the absence of the oligonucleotide.

[0024] In still another aspect, the invention includes a method for enhancing the immune response obtained by administration of a biphasic lipid vesicle entrapped immunogen, comprising administering a CpG oligonucleotide.

[0025] In one embodiment of this aspect, the lipid vesicles and the oligonucleotide are administered subcutaneously or mucosally.

[0026] These and other objects and features of the invention will be more fully appreciated when the following detailed description of the invention is read in conjunction with the accompanying drawings.

Brief Description of the Drawings

[0027] Fig. 1A is a bar graph showing the anti-OmlA IgG serum titre in pigs after subcutaneous administration of an antigen isolated from the outer membrane of *Actinobacillus pleuropneumoniae* (OmlA) in association with biphasic lipid vesicles (Group 1-2); or biphasic lipid vesicles plus CpG (Group 1-3). Group 1-1 and Group 1-4 are control groups.

[0028] Fig. 1B shows the lung pathology score following evaluation of a lung section from each test animal in the test groups of Fig. 1A, where Group 1-1 is represented by the closed squares, Group 1-2 by the closed triangles, Group 1-3 by the inverted closed triangles, and Group 1-4 by the closed diamonds.

[0029] Fig. 2 is a bar graph showing serum anti-OmlA IgG titer following subcutaneous immunization with saline (Group 2-1), the antigen OmlA in saline and a CpG oligonucleotide (Group 2-2); the antigen OmlA associated with biphasic lipid vesicles and a CpG oligonucleotide (Group 2-3); or OmlA in a mineral-based adjuvant (Group 2-4).

[0030] Fig. 3 is a bar graph showing the anti-gD IgG serum titre for mice immunized subcutaneously (SQ) or intranasally (IN) with viral antigen glycoprotein D ("gD antigen"; Group SQ-1 and Group IN-4); with gD antigen plus biphasic lipid vesicles (formulation no. 1) and a CpG oligonucleotide (Group SQ-2 and Group IN-

5) or with gD antigen plus biphasic lipid vesicles (formulation no. 2) and a CpG oligonucleotide (Group SQ-3 and Group IN-6).

[0031] Fig. 4 is a bar graph showing the anti-Gap C IgG serum titre in naïve mice (Group 4-1) or mice immunized with a bacterial antigen isolated from Gap C of *Streptococcus uberis* (herein "Gap C antigen") plus a CpG oligonucleotide (Group 4-2); or with Gap C antigen plus a CpG oligonucleotide plus one of two different biphasic lipid vesicles formulations (Groups 4-3 and 4-4).

Detailed Description of the Invention

I. Definitions

[0032] The following terms as used herein shall have the following meanings.

[0033] "Antigen" refers to a substance or material that is recognized specifically by an antibody and/or combines with an antibody.

[0034] "Adjuvant" refers to a substance or material that potentiates an immune response when administered in conjunction with an antigen. An adjuvant can also be used to elicit an immune response more rapidly.

[0035] "Biphasic lipid vesicles" refer to lipid particles formed of a vesicle-forming lipid and having an oil-in-water emulsion in the central core compartment. The terms lipid vesicle, vesicle, and biphasic lipid vesicle are used herein interchangeably.

[0036] "Immunogen" refers to a substance or material, including an antigen, that is capable of inducing an immune response. Immunogens can elicit immune responses either alone or in combination with an adjuvant. An immunogen can be synthetic or natural and can be, for example, an inorganic or organic compound such as a hapten, a protein, peptide, polysaccharide, nucleoprotein, nucleic acid or lipoprotein. Immunogens may be derived from a bacterial, viral or protozoal, plant, or fungal organism or fractions thereof.

[0037] "Dose" refers to the amount of immunogen needed to elicit an immune response. The amount varies with the animal, the immunogen, and the presence of adjuvant, as described hereinbelow. The immunization dose is readily determined by methods known to those of skill in the art, such as through host animal immunization and challenge studies (Chanock, et al., (1987)).

[0038] A "CpG oligonucleotide" intends a oligonucleotide having a sequence including at least the following formula:

5' X₁ CG X₂ 3'

where X₁ and X₂ are nucleotides and the oligonucleotide includes at least 4 nucleotides. In a preferred embodiment, C and/or G is unmethylated.

II. Immunostimulatory Composition

[0039] In one aspect, the invention includes a composition for enhancing the immune response of an antigen or an immunogen. The basic component of the composition is a biphasic lipid vesicle. Biphasic lipid vesicles have been described in the art, for example, in U.S. Patent Nos. 5,853,755 and 5,993,852, which are incorporated by reference herein. The vesicle is administered in combination with an immunogen, where the immunogen can be entrapped in the vesicles or simply added to the external suspension media in which the vesicles are contained. As used herein, an immunogen is "associated" with biphasic lipid vesicles when the immunogen is entrapped in the vesicles or is admixed with the lipid vesicles in such a way that the immunogen is contained in the medium in which the vesicles are suspended.

A. Biphasic Lipid Vesicles

[0040] The biphasic lipid vesicles of the present invention include in the central core compartment of the lipid vesicle, and in the aqueous space separating the lipid bilayers, an oil-in-water emulsion. In general, such lipid vesicles are prepared by mixing an oil-in-water emulsion with vesicle-forming lipids. Importantly, the oil-in-water emulsion is stabilized with a surfactant prior to mixing with the vesicle-forming lipids. That is, the oil droplets in the emulsion are surrounded by a surfactant, preferably, surrounded by a monolayer of surfactant. In a preferred embodiment, the stabilizing surfactant is other than the vesicle-forming lipid component forming the biphasic lipid vesicle bilayers.

[0041] More specifically, biphasic lipid vesicles in accordance with the present invention are prepared according to the general procedure described in Example 1. The selected lipid components are solubilized in a suitable solvent, which in a preferred embodiment, is a pharmaceutically acceptable hydrophilic solvent, such as a polyol, e.g., propylene glycol, ethylene glycol, glycerol, or an alcohol, such as ethanol, or mixtures of such solvents. Depending on the physicochemical properties of the lipid components and on the selected solvent, it may be

necessary to warm the mixture, for example, to between 40-80 °C.

[0042] The lipid components necessarily include a vesicle-forming lipid, by which is meant an amphipathic lipid having a hydrophobic tail and a head group which can form spontaneously into bilayer vesicles in water. The vesicle-forming lipids are preferably ones having two hydrocarbon chains, typically acyl chains, and where the head group is either polar or nonpolar. There are a variety of synthetic vesicle-forming lipids and naturally-occurring vesicle-forming lipids suitable for use, such as phospholipids, which include phosphatidylcholine, phosphatidylethanolamine, phosphatidylserine, phosphatidylinositol, phosphatidic acid, and sphingomyelin, where the two hydrocarbon chains are typically between about 14-22 carbon atoms in length, and have varying degrees of unsaturation. These lipids can be obtained commercially or prepared according to published methods.

[0043] In addition to the vesicle-forming lipid component, the lipid vesicles of the present invention can include other lipid components capable of being stably incorporated into lipid bilayers, with their hydrophobic moieties in contact with the interior, hydrophobic region of the bilayer membrane, and their polar head groups oriented toward the exterior, polar surface of the membrane. For example, glycolipids, ceramides and sterols, such as cholesterol, coprostanol, cholestanol and cholestane, long chain fatty acids (C₁₆ to C₂₂), such as stearic acid, can be incorporated into the lipid bilayer. Other lipid components that may be used include fatty amines, fatty acylated proteins, fatty acylated peptides, oils, fatty alcohols, glyceride esters, petrolatum and waxes. It will also be appreciated that a skin permeation enhancer can be included in the lipid vesicle lipid components, as will be further discussed below.

[0044] The oil-in-water emulsion is prepared by dissolving a surfactant in water or in oil, depending on the hydrophilic-lipophilic balance (HLB) of the surfactant. In a preferred embodiment, the surfactant is mixed with distilled water and added to an oil phase for formation of an emulsion. The emulsion can be formed using agitation such as homogenization or emulsification, or can be formed by micro-emulsion techniques which do not involve agitation. The resulting emulsion preferably has water as the continuous phase and oil as the dispersed phase.

[0045] The oil-in-water emulsion is stable by virtue of the oil droplets in the dispersed phase being surrounded by the surfactant. That is, the hydrophilic

portion of each surfactant molecule extends into the aqueous phase of the emulsion and the hydrophobic portion is in contact with the lipophilic droplet. Lipid vesicles are formed by blending the oil-in-water emulsion with vesicle-forming lipids. If the emulsion is not surfactant-stabilized prior to contact with the vesicle-forming lipids, the vesicle-forming lipids may act to first stabilize the emulsion rather than form lipid bilayers around the oil-in-water emulsion.

[0046] Surfactants suitable for formation of the oil-in-water emulsion are numerous, including both cationic, anionic and nonionic or amphoteric surfactants. In one embodiment, the preferred surfactant is a cationic surfactant, such as linoleamidopropyl propylene glycol-dimonium chloride phosphate, cocamidopropyl propylene glycol-dimonium chloride phosphate and stearamido propylene glycol-dimonium chloride phosphate. These are synthetic phospholipid complexes commercially available from Mona Industries, Inc (Patterson, NJ) sold under the tradenames Phospholipid EFA™ Phospholipid SV™ and Phospholipid SVC™, respectively. Another preferred vesicle-forming lipid for use as the primary lipid component of the biphasic lipid vesicle bilayers is hydrogenated phosphatidylcholine.

[0047] Exemplary anionic surfactants include acylglutamates, such as triethanolamine-cocoyl glutamate, sodium lauroyl glutamate, sodium hydrogenated tallow glutamate and sodium cocoyl glutamate.

[0048] Exemplary nonionic surfactants include naturally derived emulsifiers, such as polyethyleneglycol-60 almond glycerides, avocado oil diethanolamine, ethoxylated jojoba oil (polyethyleneglycol-40 jojoba acid and polyethyleneglycol-40 jojoba alcohol); polyoxyethylene derivatives, such as polyoxyethylene-20 sorbitan monooleate and polyoxyethethylene-20 sorbitan monostearate; lanolin derivatives, such as polychol 20 (LANETH 20) and polychol 40 (LANETH 40); and neutral phosphate esters, such as polypropyleneglycol-cetyl ether phosphate and diethanolamine oleth-3 phosphate.

[0049] The oil droplets in the dispersed oil phase preferably have sizes of less than about 1 μm , more preferably less than about 0.5 μm , in diameter. The droplet size, of course, is readily adjusted by mixing conditions, e.g., shear and time of mixing, etc.

[0050] It will be appreciated that other components can be added to the oil-in-water emulsion, that is, the oil-in-water emulsion need not be of oil, surfactant and

water alone. For example, the emulsion can include antimicrobial agents, such as methylparaben, propylparaben, and enhancing ingredients such as waxes, fatty alcohols, fatty acid esters, glyceryl stearate, petrolatum, plant oils and extracts, and combinations thereof. Specific preferred examples include beeswax, olive oil, glyceryl stearate, cetyl alcohol, stearyl alcohol, myristyl myristate, and cetyl palmitate, stearyl heptanoate, and stearyl palmitate. Exemplary formulations suitable for use in the present invention are described below in Examples 1 and 3.

[0051] The stabilized oil-in-water emulsion is mixed with the solubilized vesicle-forming lipid and, if added, other lipid components, e.g., cholesterol. The emulsion and the lipid components are mixed under conditions effective to form multilamellar vesicles having in the central compartment the oil-in-water emulsion.

[0052] The size of the vesicles is typically between about 0.1-100 µm. For use in the present invention, a lipid vesicle size of between about 0.5-25 µm is preferred, which can be most readily obtained by adjusting the mixing conditions.

[0053] The composition of lipid vesicles formed in accordance with the invention have a consistency similar to a cream without further addition of thickening or gelling agents. The consistency is readily adjustable according to the desired mode of administration. For example, for subcutaneous administration or intravenous administration, a thinner consistency may be desired than that used for topical administration. The consistency for intranasal and inhalation administration can also be adjusted accordingly.

[0054] The population of vesicles formed according to the technique described in Example 1 has a uniform size distribution and homogeneous composition. The vesicles are physically stable, that is, little aggregation or fusion of vesicles is evident after storage for a four year period.

B. CpG Oligonucleotide Adjuvant

[0055] As noted above, in one embodiment, the composition includes an oligonucleotide having at least one cytosine-guanine dinucleotide (CpG). As will be described below, studies performed in support of the invention show that the immune response achieved by administration of an immunogen in combination with a biphasic lipid vesicle composition can be further enhanced by including a CpG oligonucleotide in the biphasic lipid vesicle - immunogen composition.

[0056] DNA motifs consisting of an unmethylated CpG dinucleotide flanked by two 5' purines and two 3' pyrimidines stimulate an innate immune response characterized by the production of IgM, IFN γ , IL-6, IL-12, IL-18, and TNF α (Klinman et al., Kreig et al.). These sequence motifs are 20 times more common in microbial than mammalian DNA due to differences in the frequency of utilization and the methylation pattern of CpG dinucleotides in prokaryotes versus eukaryotes.

[0057] In a preferred embodiment, the immunostimulatory CpG nucleic acid contains a consensus mitogenic CpG motif represented by the formula:



where X_1 and X_2 are nucleotides. In a preferred embodiment, C and/or G is unmethylated. In another embodiment X_1 is selected from A, G, and T and X_2 is C or T. More generally, the CpG oligonucleotide is of the form:



where X_1 , X_2 , and N , are nucleotides, and n and m individually range from 0 to about 100. Thus, the CpG nucleic acid is preferably a nucleic acid sequence having between about 2-250 base pairs, and in a more preferred embodiment is a oligonucleotide having at least 4 base pairs. A preferred range for the CpG oligonucleotide is between about 4-100 base pairs, and more preferably between about 8-40 nucleotides.

[0058] In studies performed in support of the invention, the CpG oligonucleotide identified herein as SEQ ID NO:1 (TCCATGACGTTCTGACGTT) was used as part of a composition comprised of biphasic lipid vesicles and an antigen. As will be shown, the CpG oligonucleotide and the vesicles act synergistically to achieve an enhanced immune response, relative to the response achieved when vesicles alone or the oligonucleotide alone are administered. It will be appreciated that a variety of CpG oligonucleotides are suitable for use. For example, oligonucleotide sequences of any length comprising one or more of the following sequences are exemplary: TCAACGTT (SEQ ID NO:2), GACGTT (SEQ ID NO:3), AGCGTT (SEQ ID NO:4), AACGCT (SEQ ID NO:5), or AACGAT (SEQ ID NO:6), wherein C and G are unmethylated. In another embodiment, a T nucleotide adjacent to any one of these sequences is contemplated, where the T is added on the 5' end to yield, for

example, TTCAACGTT (SEQ ID NO:7), TGACGTT (SEQ ID NO:8), TAGCGTT (SEQ ID NO:9), TAACGCT (SEQ ID NO:10), and TAACGAT (SEQ ID NO:11).

Other suitable sequences are described in the art (see, for example, U.S. Patent Nos. 6,214,806; 6,207,646; 6,239,116; 6,218,371).

[0059] It will also be appreciated that the CpG oligonucleotide can have a phosphate backbone modification, such as a phosphorothioate backbone modification.

C. Antigen

[0060] In general, a wide variety of immunogens are suitable for use in the present invention. The following list of antigens is provided by means of illustration and is not meant to be exclusive: influenza virus antigens (such as haemagglutinin and neuraminidase antigens), *Bordetella pertussis* antigens (such as pertussis toxin, filamentous haemagglutinin, pertactin), human papilloma virus (HPV) antigens, *Helicobacter pylori* antigens, rabies antigens, tick-borne encephalitis (TBE) antigens, meningoccal antigens (such as capsular polysaccharides of serogroup A, B, C, Y and W-135), tetanus antigens (such as tetanus toxoid), diphtheria antigens (such as diphtheria toxoid), pneumococcal antigens (such as *Streptococcus pneumoniae* type 3 capsular polysaccharide), tuberculosis antigens, human immunodeficiency virus (HIV) antigens (such as GP-120, GP-160), cholera antigens (such as cholera toxin B subunit), staphylococcal antigen (such as staphylococcal enterotoxin B), shigella antigens (such as shigella polysaccharides), vesicular stomatitis virus antigen (such as vesicular stomatitis virus glycoprotein), cytomegalovirus (CMV) antigens, hepatitis antigens (such as hepatitis A (HAV), B (HBV), C (HCV), D (HDV) and G (HGV) virus antigens, respiratory syncytial virus (RSV) antigens, herpes simplex antigens, or combinations thereof (e.g., combinations of diphtheria, pertussis and tetanus (DPT)). Suitable antigens also include those delivered for induction of tolerance, such as retinal antigens. Antigens for immunization/vaccination against anthrax and *Yersinia pestis* are also contemplated.

[0061] Preferred antigens include *Bordetella pertussis* antigens, meningococcal antigens, tetanus antigens, diphtheria antigens, pneumococcal antigens, tuberculosis antigens, and RSV antigens. In another preferred embodiment, the entrapped immunogen has a molecular weight of between about 100-100,000,000

daltons, more preferably 100-500,000 daltons, and most preferably 100-100,000 daltons.

[0062] In studies performed in support of the present invention, an antigen isolated from the outer membrane of *Actinobacillus pleuropneumoniae* (OmlA) was used as a model antigen, as will be described below.

III. Administration of Exemplary Compositions

[0063] In studies performed in support of the invention, the ability of biphasic lipid vesicles to enhance the immune response to an antigen referred to herein as "OmlA" was evaluated. OmlA is an antigen isolated from the outer membrane of lipoprotein A in *Actinobacillus pleuropneumoniae*. The ability of the composition to confer protection to a challenge with *A. pleuropneumoniae* was also evaluated. The study also evaluated the effect of administering a CpG oligonucleotide in combination with the biphasic lipid vesicles.

[0064] As described in Example 2, biphasic lipid vesicles composed of "Formulation No. 1" (see Example 1) were prepared. The vesicles admixed with OmlA were administered subcutaneously to pigs two times at a three-week interval. Some pigs also received, admixed with the vesicles and the OmlA, a CpG oligonucleotide (SEQ ID NO:1). As controls, a group of pigs received the OmlA antigen in combination with lipid vesicles and an oligonucleotide sequence similar to SEQ ID NO:1 but containing no CpG motifs. This control sequence is referred to herein as SEQ ID NO:12 and has the sequence TCCAGGACTTCTCTCAGGTT. The test groups and formulations are summarized in Table 1.

Table 1. Formulations used in Experiment 1.

Group 1-1 (control)	Group 1-2	Group 1-3	Group 1-4 (control)
0.5 ml saline	0.5 ml biphasic lipid vesicles	0.5 ml biphasic lipid vesicles	0.5 ml biphasic lipid vesicles
	OmlA ¹ 50 µg	OmlA 50 µg	OmlA 50 µg
		CpG oligo (SEQ ID NO:1) 1 mg	Non-CpG oligo (SEQ ID NO:12) 1 mg

¹OmlA = antigen isolated from the outer membrane of lipoprotein A in *Actinobacillus pleuropneumoniae*.

[0065] Ten days after the second immunization, OmlA-specific IgG was determined in the serum and the pigs were challenged with *A. pleuropneumoniae* by inhalation. Five days after the challenge, clinical scores were taken, a quantification of bacterial isolation was done, and a postmortem examination was performed. The results are shown in Figs. 1A-1B and Table 2.

[0066] Fig. 1A is a bar graph showing the anti-OmlA IgG serum titre in the animals in each test group. Pigs immunized with OmlA admixed with biphasic lipid vesicles (Group 1-2) had an enhanced immune response when compared to pigs treated with saline alone (Group 1-1). Addition of a CpG oligonucleotide to the vesicle-antigen composition achieved a further stimulation of immune response, as evidenced by comparing the results for Group 1-3 and Group 1-2. That is, animals treated with biphasic lipid vesicles plus a CpG oligonucleotide (Group 1-3) had a significantly higher OmlA-specific IgG titer than did the animals treated with biphasic lipid vesicles alone (Group 1-2) or than animals immunized with the control composition of a non-CpG oligonucleotide and vesicles (Group 1-4).

[0067] As noted above, ten days after the second immunization, all animals were exposed to a challenge of *A. pleuropneumoniae* by inhalation in a chamber. The severity of lung lesions was recorded at autopsy five days post-challenge or, in pigs with severe infection, the examination and bacterial isolation were done at the time of euthanasia. The results are shown in Fig. 1B.

[0068] Fig. 1B shows the lung pathology score for each animal in each test group, where the proportion of lung with pneumonic lesions was determined as the portion of dorsal and ventral surfaces of the lungs with gross lesions. Group 1-1 is represented by the closed squares, Group 1-2 by the closed triangles, Group 1-3 by the inverted closed triangles, and Group 1-4 by the closed diamonds. Each point in the Figure represents one animal. As seen, the animals in Group 1-3 immunized with OmlA in the presence of biphasic lipid vesicles and the CpG oligonucleotide showed fewer lung lesions (as evidenced by the lowest average lung pathology clinical score). The solid line in the Group 1-3 data points represents the average score for the test animals in the group.

[0069] Bacteria counts were also determined in the lungs and lymph nodes of the animals in each test group. Table 2 summarizes the *A. pleuropneumoniae* isolated from lung lesions or lymph nodes in the challenged pigs, where a "-" symbol represents no observed bacterial isolates and the "+", "++", and "+++"

symbols correspond to a progressively greater number of observed isolates.

Table 2

Group 1-1			Group 1-2			Group 1-3			Group 1-4		
Pig #.	LN ¹	Lung	Pig #	LN ¹	Lung	Pig #	LN ¹	Lung	Pig #	LN ¹	Lung
21	++	+++	29	+	+	37	++	-	45	++	-
22	+	+	30	+	-	38	++	+	46	+	-
23	++	+++	31	+++	+	39	+	-	47	++	-
24	+	-	32	++	-	40	+	-	48	+	-
25	+	-	33	+	-	41	+	-	49	++	++
26	++	+++	34	+	+++	42	+	++	50	++	+++
27			35	++	+++	43	+	-	51	+	+++
28	++	+++	36			44	++	++	52	++	++

¹LN=lymph node

[0070] As seen in Table 2, pigs immunized with OmlA in the presence of biphasic lipid vesicles and a CpG oligonucleotide (Group 1-3) showed fewer bacteria isolated from the lungs and lymph nodes when compared to pigs immunized with lipid vesicles alone (Group 1-2) or lipid vesicles plus the control non-CpG oligonucleotide (Group 1-4).

[0071] This study demonstrated that immunization of animals with a biphasic lipid vesicle formulation alone achieved an immune response. That immune response was further enhanced by additionally administering a CpG oligonucleotide. The study also showed that more animals in the group treated with both lipid vesicles and a CpG oligonucleotide were protected against infection to a greater extent than animals immunized with a biphasic lipid vesicle formulation in the absence of a CpG oligonucleotide or with a biphasic lipid vesicle formulation and a non-CpG oligonucleotide.

[0072] In another study performed in support of the invention, the ability of the biphasic lipid vesicle formulation to enhance the adjuvant activity of CpG oligonucleotides was evaluated. In addition, the enhancement of immune response achieved by the biphasic lipid vesicle formulation was compared to that offered by a mineral-oil based adjuvant.

[0073] In this study, the experimental procedures described for the study above (and set forth in Example 2) were followed. The four groups of test animals (pigs,

n=8) were immunized as follows:

- Group 2-1: Control, 0.5 ml phosphate buffered saline;
- Group 2-2: 0.5 ml phosphate buffered saline; 50 µg OmlA; and 1 mg CpG oligonucleotide SEQ ID NO:1;
- Group 2-3: 0.5 ml biphasic lipid vesicles (formulation no. 1); 50 µg OmlA; and 1 mg CpG oligonucleotide SEQ ID NO:1; and
- Group 2-4: 0.5 ml mineral oil-based commercial adjuvant and 50 µg OmlA.

[0074] All animals were immunized subcutaneously two times at a three week interval. As in the previous study, ten days after the second immunization, the OmlA-specific IgG was determined in the serum by the ELISA assay set forth in Example 2. The results are shown in Fig. 2.

[0075] Fig. 2 is a bar graph showing the anti-OmlA IgG in serum for each of the test groups. As seen by comparing the response of Group 2-2 with that of Groups 2-3, the biphasic lipid vesicle formulation enhanced the adjuvant activity of CpG oligonucleotide. The responses induced by the biphasic lipid vesicle plus CpG oligonucleotide formulation were comparable to the response induced by commercial mineral-based adjuvant. However, histological assessment of the site of injection showed that administration of the biphasic lipid vesicles and CpG oligonucleotide induced no or mild inflammation, in contrast to the severe inflammation caused by the commercial adjuvant (as evidenced by infiltration of mononuclear cells and necrosis at the immunization site, data now shown).

[0076] Example 3 describes another study performed in support of the present invention where a mouse model was used to show the enhanced immune response achieved when biphasic lipid vesicles are administered in combination with a CpG oligonucleotide. In this study, two different biphasic lipid vesicle formulations were evaluated and the composition of each is described in Table 3 of Example 3.

[0077] Mice were randomized into six test groups and immunized subcutaneously (SQ) or intranasally (IN) with the viral antigen glycoprotein D ("gD antigen") of herpes simplex virus type 1 (HSV-1) as follows:

Group SQ 3-1: Control, "gD" antigen alone in saline;

Group SQ 3-2: biphasic lipid vesicles (formulation no. 1), CpG oligonucleotide (SEQ ID NO:1); and antigen "gD";

Group SQ 3-3: biphasic lipid vesicles (formulation no. 2), CpG oligonucleotide (SEQ ID NO:1); and antigen "gD";

Group IN 3-4: Control, "gD" antigen alone in saline;

Group IN 3-5: biphasic lipid vesicles (formulation no. 1), CpG oligonucleotide (SEQ ID NO:1); and antigen "gD";

Group IN 3-6: biphasic lipid vesicles (formulation no. 2), CpG oligonucleotide (SEQ ID NO:1); and antigen "gD";

[0078] Animals were reimmunized by the same route as initially immunized two weeks later. Ten days after this booster, serum was collected. The anti-gD IgG in the serum was determined and the results are shown in Fig. 3.

[0079] Fig. 3 is a bar graph showing the anti-gD IgG serum titre for the six test groups. The mice immunized with the biphasic lipid vesicle formulations in combination with the CpG oligonucleotide had an enhanced immune response. In particular, mice immunized with the lipid vesicle formulation no. 2 (Groups SQ 3-3 and IN 3-6) had a significantly enhanced immune response compared to the mice treated with the antigen alone (Groups SQ 3-1 and IN 3-4).

[0080] In yet another study described in Example 4, mice were immunized subcutaneously with the bacterial antigen Gap C of *Streptococcus uberis* (herein "Gap C antigen"). Mice were randomized into four treatment groups for immunization as follows:

Group 4-1: Control, naïve mice;

Group 4-2: Gap C antigen plus CpG oligonucleotide (SEQ ID NO:1);

Group 4-3: Gap C antigen plus CpG oligonucleotide (SEQ ID NO:1) plus biphasic lipid vesicles (formulation no. 1);

Group 4-4: Gap C antigen plus CpG oligonucleotide (SEQ ID NO:1) plus biphasic lipid vesicles (formulation no. 2).

[0081] Animals were reimmunized two weeks later. Ten days after this booster, serum was collected. The anti-Gap C IgG in the serum was determined and the results are shown in Fig. 4.

[0082] Fig. 4 is a bar graph showing the anti-Gap C IgG serum titre in each of the test groups. As seen, the mice immunized with Gap C in the presence of both biphasic lipid vesicles and a CpG oligonucleotide (Group 4-3 and Group 4-4) had an enhanced immune response when compared to animals immunized with Gap C and a CpG oligonucleotide alone (Group 4-2).

[0083] These studies show that a CpG oligonucleotide associated with a biphasic lipid vesicle composition gives a synergistically enhanced immune response. Enhanced immune response were observed when immunization was by the intranasal route or the subcutaneous route.

IV. Methods and Products for Administration

[0084] In another aspect, the invention includes a method of enhancing the immune response elicited by an immunogen by administering a biphasic lipid vesicle composition in combination with a CpG oligonucleotide. The lipid vesicle and oligonucleotide and antigen components can be admixed together to form a mixture of the three, or one or both of the antigen and the oligonucleotide can be entrapped in the lipid vesicles. Entrapping either the oligonucleotide or the antigen in the vesicles is readily done by those of skill in the art, typically by mixing the component with either the lipid phase or with the oil or water phase of the emulsion prior to vesicle formation.

[0085] It will be appreciated that the method contemplates administration by any suitable route, including but not limited to subcutaneous, intravenous, intramuscular, topical, intranasal, inhalation, mucosal (buccal, vaginal) and the like.

[0086] In another aspect, the invention includes a kit for preparing a composition for immunization of a subject. In one embodiment, the kit includes (i) a biphasic lipid vesicle component; (ii) an immunogen component; and (iii) an oligonucleotide component, the oligonucleotide having at least one cytosine-guanine (CpG) dinucleotide, e.g., a CpG oligonucleotide. The three components are admixed to form a composition suitable for administration to a subject by any desirable route. The composition is capable of eliciting an immune response to the immunogen.

[0087] In another embodiment, the kit is comprised of (i) a biphasic lipid vesicle-entrapped immunogen component; and (ii) a CpG oligonucleotide component. The two components are admixed to form a composition effective to elicit an immune response.

[0088] In another embodiment, the kit is comprised of (i) a biphasic lipid vesicle-entrapped CpG oligonucleotide; and (ii) an immunogen component. The two components are admixed to form a composition that upon administration is effective to elicit an immune response.

V. Examples

[0089] The following examples further illustrate the invention described herein and are in no way intended to limit the scope of the invention.

Example 1 Preparation of Biphasic Lipid Vesicles

A. Preparation of Lipid Components

[0090] Lipid components, hydrogenated phosphatidylcholine (Phospholipon 90H™, Natterman GmbH, Germany) and cholesterol, were mixed in the amounts shown in Table 2 with propylene glycol and mixed with warming to between about 65-75 °C.

B. Preparation of oil-in-water emulsion

[0091] An oil-in-water emulsion was prepared by mixing the surfactant TWEEN 8™ with methylparaben and propylparaben, in the amounts shown in Table 4, in distilled water.

[0092] In a separate container, the lipophilic components, canola oil and Poloxamer 407™, were blended together.

[0093] The water phase and the oil phase were mixed together in a high pressure homogenizer (H-5000 Laboratories Homogenizer Microfluidic Corp.). Visually, the emulsion is a milky solution having the consistency of water.

C. Biphasic Lipid Vesicle Formation

[0094] The lipid components and the oil-in-water emulsion were mixed together by vortexing or propeller mixing at 50-300 rpm. This formulation is referred to herein as "Formulation No. 1".

Table 4: Composition of "Formulation No. 1"

Component	% (w/w)
Hydrogenated phosphatidylcholine	2
Cholesterol	0.2
Propylene glycol	2
Tween 80™	0.1
Methylparaben	0.15
Propylparaben	0.05
Canola oil	1
Poloxamer 407™	1
Distilled water	q.s. to 100

Example 2In vivo Administration of Lipid Vesicle-CpG Composition

- [0095] Lipid vesicles were prepared as described in Example 1.
- [0096] An antigen isolated from the outer membrane of lipoprotein A of *Actinobacillus pleuropneumoniae* (designated herein "OmlA") was selected as a model antigen.
- [0097] CpG oligonucleotide identified herein as SEQ ID NO:1 was used as a model CpG oligonucleotide. A sequence of the same length and identical but for two nucleotide substitutions to destroy the CpG motif was used as a control sequence to control for any effect due to the nucleic acid, and this sequence is identified herein as SEQ ID NO:12. Both oligonucleotides had a phosphorothioate backbone modification to increase resistance to nuclease degradation.
- [0098] Four-week old male and female pigs were obtained from a herd free of *Actinobacillus pleuropneumoniae*. Thirty-two pigs were randomized into four test groups (n=8). All the animals received two immunizations 21 days apart as follows:

Group 1-1: Control, 0.5 ml phosphate buffered saline;

Group 1-2: 0.5 ml biphasic lipid vesicles (formulation no. 1) and 50 µg OmlA;

Group 1-3: 0.5 ml biphasic lipid vesicles (formulation no. 1); 50 µg OmlA; and 1 mg CpG oligonucleotide (SEQ ID NO:1); and

Group 1-4: 0.5 ml biphasic lipid vesicles (formulation no. 1); 50 µg OmlA; and 1 mg non-CpG oligonucleotide (SEQ ID NO:12).

[0099] These test groups and the administered composition are summarized in Table 1 above.!

[0100] Ten days after the last immunization serum samples were taken to evaluate the induction of antigen-specific humor immune response, by analyzing for OmlA-specific IgG levels in the serum. OmlA-specific serum antibodies were determined by ELISA as previously described (Gerlach G.F. et al, *Infect. Immun.*, 61:565-72 (1993)). Briefly, ninety-six well plates (Immulon 2; Dynatech Laboratories Inc., Alexandria, VA) were coated with OmlA (1 µg/ml) in a carbonate-bicarbonate buffer (pH 9.6). Plates were incubated overnight at 4°C and then washed 4 times in PBS containing 0.05% Tween™ (PBS-T). Four-fold dilutions of sera were prepared in PBS-T (containing 0.5% gelatin) and dispensed in 100 µl volumes. Alkaline phosphatase goat anti-porcine IgG(H+L) conjugate (KPL, Gaithersburg, MD) was used as the detecting antibody. After incubation for one hour and four subsequent washes, Di(Tris) p-nitrophenyl phosphate (Sigma, Oakville, ON) was used as the chromogenic substrate. The absorbance was read after 15- 20 minutes at 405 nm (BIO-RAD, Richmond, CA). Titres are expressed as the reciprocal of the highest dilution with an O.D of three standard deviations above the negative control and are shown in Fig. 1A.

A. Actinobacillus pleuropneumoniae Challenge

[0101] Ten days after the last immunization and after the serum samples were drawn (see above) the pigs were challenged by exposure to an aerosol generated from a suspension of 1.5×10^5 CFU/mL of *App* serotype 1 (Willson P.J. et al., *Cancer J. Vet. Res.*, 65:206-12 (2001); Gerlach G.F. et al, *Infect. Immun.*, 61:565-72 (1993)). Briefly, an aerosol of bacteria was generated with a Devilbiss 65 nebulizer into a Plexiglass and steel chamber where pigs were allowed to breathe the mist for ten minutes (Osborne, A.D., et al., *Cancer J. Comp. Med.*, 49:434 (1985)). A veterinarian and an animal health technician daily evaluated clinical signs of disease in all pigs. The following ordinal scoring system was used: clinically normal (0); slight increase in respiratory rate and effort with slight depression (1); marked increase in respiratory rate and effort with marked depression (2); severe increase in respiratory rate and effort with severe

depression, mouth breathing and/or cyanosis (3). Pigs with a clinical score of 3 were humanely killed. On day five after challenge all remaining pigs were humanely killed, and examined postmortem. The proportion of lung with pneumonic lesions was determined as the portion of dorsal and ventral surfaces of the lungs with gross lesions of pneumonia. The results are shown in Fig. 1B.

Example 3

Immunization With Glycoprotein D of herpes simplex virus type 1

A. Lipid Vesicle Preparation

[0102] Lipid vesicles were prepared as described in Example 1 with the following changes to the formulation, to result in a formulation referred to herein as "Formulation No. 2".

[0103] The oil-in-water emulsion was prepared by mixing the surfactant linoleamidopropyl propylene glycol-dimonium chloride phosphate (Phospholipid EFA™, Mona Industries Inc., Patterson, NJ), methylparaben and propylparaben, in the amounts shown in Table 1, in distilled water.

[0104] In a separate container, the lipophilic components Mygliol 810N (caprylic/capric triglyceride) and glycerol monostearate were blended together.

[0105] The components and amounts of Formulation No. 2 are shown in Table 5.

Table 5: Composition of "Formulation No. 2"

Component	% (W/W)
Hydrogenated phosphatidylcholine	2
Cholesterol	0.2
Propylene glycol	2
Phospholipid EFA™	2
Methylparaben	0.15
Propylparaben	0.05
Mygliol 810N™	1
Glycerol monostearate	1
Distilled water	qs to 100

B. Oligonucleotides

[0106] The CpG oligonucleotide identified herein as SEQ ID NO:1 and the non-CpG oligonucleotides identified herein as SEQ ID NO:12 were used at 10 µg per subcutaneous immunization and 1 µg per mucosal immunization. Both these oligonucleotides contain a nuclease resistant phosphorothioate backbone.

C. Antigen and Antigen Delivery System

[0107] Viral antigen glycoprotein D "gD" of herpes simplex virus type 1 (HSV-1) in endotoxin-free saline was mixed with the biphasic lipid formulation no. 2 at a ratio of 1 part antigen to 9 parts lipid vesicle formulation.

D. In vivo Immunization

[0108] Six week-old female BALB/c mice were used for the study, with five mice in each group. The mice were immunized by the intranasal or subcutaneous route with 0.5 µg of viral antigen glycoprotein D "gD" of herpes simplex virus type 1 (HSV-1) in a volume of 100 µL. The formulation test groups were as follows:

Group SQ 3-1: Control, "gD" antigen in saline

Group SQ 3-2: biphasic lipid vesicles (formulation no. 1), CpG oligonucleotide (SEQ ID NO:1, 10µg); and antigen "gD" (0.5 µg)

Group SQ 3-3: biphasic lipid vesicles (formulation no. 2), CpG oligonucleotide (SEQ ID NO:1, 10 µg); and antigen "gD" (0.5 µg)

Group IN 3-4: Control, "gD" antigen (0.5 mg) alone in saline

Group IN 3-5: biphasic lipid vesicles (formulation no. 1), CpG oligonucleotide(SEQ ID NO:1, 1 µg); and antigen "gD" (0.5 µg)

Group IN 3-6: biphasic lipid vesicles (formulation no. 2), CpG oligonucleotide(SEQ ID NO:1, 1 µg); and antigen "gD" (0.5 µg)

[0109] Animals were re-immunized two weeks later and serum was collected 10 days after the boost. Results are shown in Fig. 3 and represent the mean titre of five mice per group, where the bar indicates the SEM.

Example 4**Immunization With Bacterial Antigen Gap C of *Streptococcus uberis***

[0110] Mice were immunized subcutaneously with the bacterial antigen Gap C of *Streptococcus uberis* (herein "Gap C antigen"), similar to the procedures described above in Example 3. Mice were randomized into four treatment groups for immunization as follows:

Group 4-1: Control, naïve mice;

Group 4-2: Gap C antigen (10 µg) plus CpG oligonucleotide (SEQ ID NO:1, 10 µg);

Group 4-3: Gap C antigen (10 µg) plus CpG oligonucleotide (SEQ ID NO:1, 10 µg) plus biphasic lipid vesicles (formulation no. 1);

Group 4-4: Gap C antigen (10 µg) plus CpG oligonucleotide (SEQ ID NO:1, 10 µg) plus biphasic lipid vesicles (formulation no. 2).

[0111] Animals were re-immunized two weeks later and serum was collected 10 days after the boost. Results are shown in Fig. 4 as the mean titre of five mice per group, where the bar indicates the SEM.

[0112] Although the invention has been described with respect to particular embodiments, it will be apparent to those skilled in the art that various changes and modifications can be made without departing from the invention.

IT IS CLAIMED:

- 1. A composition for eliciting in a subject an immune response to an immunogen, comprising**
a suspension of biphasic lipid vesicles having a central core compartment containing an oil-in-water emulsion, and
associated with the vesicles, an immunogen.
- 2. The composition of claim 1, wherein said immunogen is admixed with the vesicles.**
- 3. The composition of claim 1, wherein said immunogen is entrapped in the vesicles.**
- 4. The composition according to any one of claims 1-3 further comprising a nucleic acid sequence having at least one cytosine-guanine (CpG) dinucleotide.**
- 5. The composition of claim 4, wherein cytosine and guanine in the cytosine-guanine (CpG) dinucleotide are unmethylated.**
- 6. The composition of claim 4, wherein said sequence contains a sequence selected from the sequences identified herein as SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:6, SEQ ID NO:7, SEQ ID NO:8, SEQ ID NO:9, SEQ ID NO:10, and SEQ ID NO:11.**
- 7. The composition according to any one of claims 4-6 wherein the nucleic acid sequence comprises between about 4 to 100 nucleotides.**
- 8. The composition according to any one of claims 4-7, wherein the sequence has a phosphate backbone modification.**
- 9. The composition of claim 8, wherein the phosphate backbone modification is a phosphorothioate backbone modification.**

10. A composition for eliciting in a subject an immune response to an immunogen, comprising
 - a suspension of biphasic lipid vesicles having a central core compartment containing an oil-in-water emulsion, and
 - associated with the vesicles, (i) an immunogen and (ii) an oligonucleotide having at least one cytosine-guanine (CpG) dinucleotide.
11. The composition of claim 10, wherein said immunogen and said CpG oligonucleotide are admixed with said vesicles.
12. The composition of claim 10, wherein said immunogen is entrapped in the vesicles.
13. The composition of claim 10, wherein said CpG oligonucleotide is entrapped in the vesicles.
14. The composition of claim 10, wherein said immunogen and said CpG oligonucleotide are entrapped in the vesicles.
15. The composition of claim 10, wherein the immunogen is selected from the group consisting of antigens derived from bacterial, viral, parasitic, plant and fungal origin.
16. The composition of claim 10, wherein the oligonucleotide sequence comprises 4 to 100 nucleotides.
17. The composition of claim 10, wherein said CpG oligonucleotide comprises a sequence selected from the group consisting of sequences identified herein as SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:6, SEQ ID NO:7, SEQ ID NO:8, SEQ ID NO:9, SEQ ID NO:10, and SEQ ID NO:11.
18. The composition according to claim 10, wherein the oligonucleotide has a phosphate backbone modification.

19. The composition of claim 10 wherein the phosphate backbone modification is a phosphorothioate backbone modification.

20. A kit for preparation of a composition effective to elicit in a subject an immune response to an immunogen, comprising

- (i) a biphasic lipid vesicle component, said vesicles having a central core compartment containing an oil-in-water emulsion,
- (ii) an immunogen component; and
- (iii) an oligonucleotide component, said oligonucleotide having at least one cytosine-guanine (CpG) dinucleotide;

wherein said components are admixed to form a composition effective to elicit an immune response.

21. A kit for preparation of a composition effective to elicit in a subject an immune response to an immunogen, comprising

- (i) a biphasic lipid vesicle component, said vesicles having a central core compartment containing an oil-in-water emulsion, said vesicles containing an entrapped immunogen; and
- (ii) a CpG oligonucleotide component, said oligonucleotide having at least one cytosine-guanine (CpG) dinucleotide;

wherein said components are admixed to form a composition effective to elicit an immune response.

22. A kit for preparation of a composition effective to elicit in a subject an immune response to an immunogen, comprising

- (i) a biphasic lipid vesicle component, said vesicles having a central core compartment containing an oil-in-water emulsion, said vesicles containing an entrapped oligonucleotide having at least one cytosine-guanine (CpG) dinucleotide; and
 - (ii) an immunogen component;
- wherein said components are admixed to form a composition effective to elicit an immune response.

23. The kit according to any one of claim 20-22, wherein said CpG oligonucleotide sequence comprises between about 4 to 100 nucleotides.

24. The kit according to any one of claims 20-22, wherein said CpG oligonucleotide comprises a sequence selected from the group consisting of sequences identified herein as SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:6, SEQ ID NO:7, SEQ ID NO:8, SEQ ID NO:9, SEQ ID NO:10, and SEQ ID NO:11.

25. The kit according to any one of claim 20-22, wherein the oligonucleotide has a phosphate backbone modification.

26. The kit according to any one of claim 20-22, wherein the phosphate backbone modification is a phosphorothioate backbone modification.

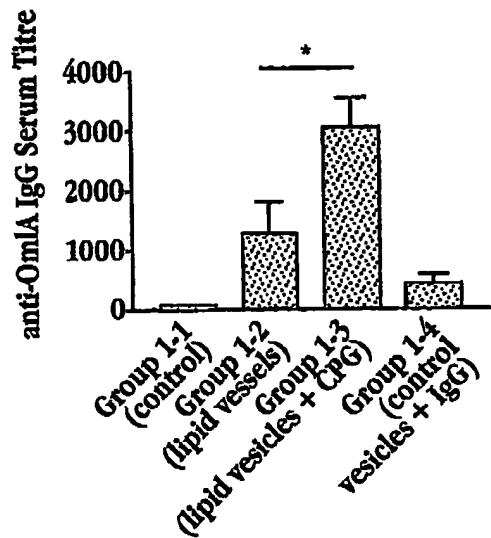
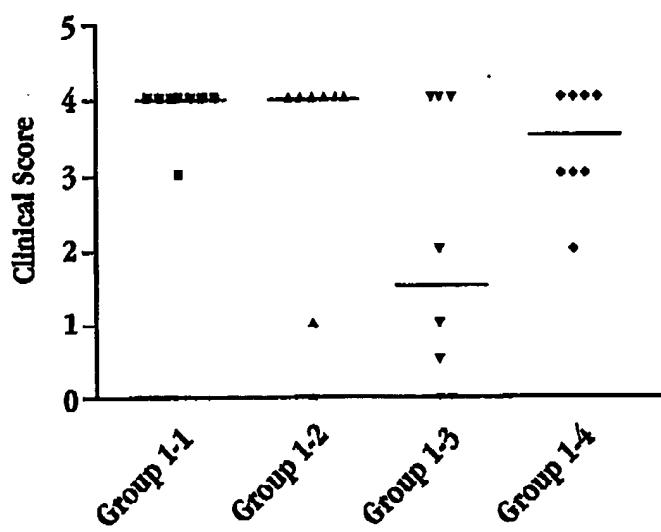
27. The kit according to any one of claim 20-22, wherein the immunogen is selected from the group consisting of antigens derived from bacterial, viral, parasitic, plant and fungal origin.

28. An improvement in a composition comprised of a biphasic lipid vesicle and an immunogen, comprising

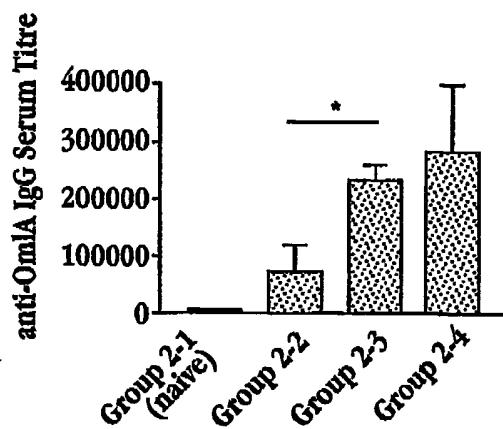
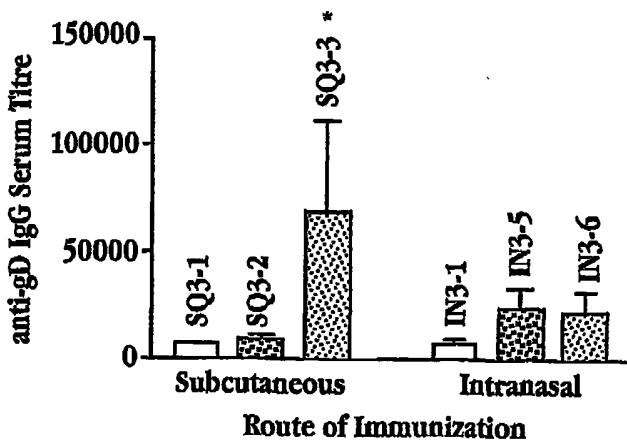
an oligonucleotide having at least one cytosine-guanine (CpG) dinucleotide, wherein said improvement is effective to enhance the immune response to the immunogen relative to the response obtained by administration of the vesicles and the immunogen in the absence of the oligonucleotide.

29. A method for enhancing the immune response obtained by administration of a biphasic lipid vesicle entrapped immunogen, comprising administering an oligonucleotide having at least one cytosine-guanine (CpG) dinucleotide.

1/3

**Fig. 1A****Fig. 1B**

2 / 3

**Fig. 2****Fig. 3**

3/3

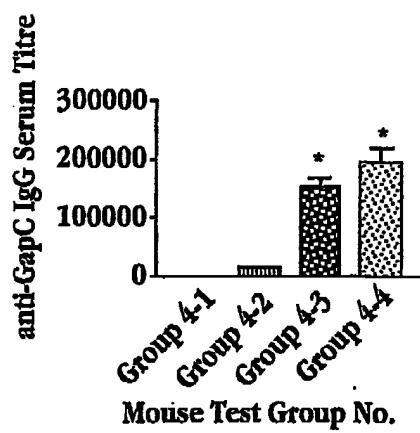


Fig. 4

INTERNATIONAL SEARCH REPORT

Int'l Application No
PCT/CA 02/01446

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 A61K39/00 A61K9/107 A61K9/127 A61P31/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, BIOSIS, WPI Data, EMBASE, MEDLINE, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99 11247 A (FOLDVARI MARIANNA ;BACA ESTRADA MARIA (CA); PHARMADEERM LAB LTD (CA) 11 March 1999 (1999-03-11) example 2	1-3
Y	LUDEWIG A B ET AL: "In vivo antigen loading and activation of dendritic cells via a liposomal peptide vaccine mediates protective antiviral and anti-tumour immunity" VACCINE, BUTTERWORTH SCIENTIFIC. GUILDFORD, GB, vol. 19, no. 1, 15 August 2000 (2000-08-15), pages 23-32, XP004228807 ISSN: 0264-410X figure 4	4-29

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the International filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

T later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

& document member of the same patent family

Date of the actual completion of the International search

Date of mailing of the International search report

16 December 2002

13/01/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patenttaan 2
NL - 2280 HV Rijswijk
Tel (+31-70) 340-2040, Tx. 81 651 epo nl
Fax (+31-70) 340-3016

Authorized officer

Wagner, R

INTERNATIONAL SEARCH REPORT

Int'l Application No.
PCT/CA 02/01446

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	GURSEL M ET AL: "Immunoadjuvant action of plasmid DNA in liposomes" VACCINE, BUTTERWORTH SCIENTIFIC. GUILDFORD, GB, vol. 17, no. 11-12, March 1999 (1999-03), pages 1376-1383, XP004158265 ISSN: 0264-410X figure 3	4-29
Y	US 5 993 851 A (FOLDVARI MARIANNA) 30 November 1999 (1999-11-30) claim 10	4-29
A	MUI BARBARA ET AL: "Immune stimulation by a CpG-containing oligodeoxynucleotide is enhanced when encapsulated and delivered in lipid particles." JOURNAL OF PHARMACOLOGY AND EXPERIMENTAL THERAPEUTICS, vol. 298, no. 3, September 2001 (2001-09), pages 1185-1192, XP002224996 ISSN: 0022-3565 abstract	4-29
A	WO 01 15726 A (INEX PHARMACEUTICALS CORP; ;KOJIC LJILJANA D (CA); MUI BARBARA (CA) 8 March 2001 (2001-03-08) page 12 -page 16	4-29
A	WO 99 11241 A (SMITHKLINE BEECHAM BIOLOG; ;GARCON NATHALIE (BE); MOMIN PATRICIA MA) 11 March 1999 (1999-03-11) the whole document	1-3
A	BACA-ESTRADA M E ET AL: "Effects of IL-12 on immune responses induced by transcutaneous immunization with antigens formulated in a novel lipid-based biphasic delivery system" VACCINE, BUTTERWORTH SCIENTIFIC. GUILDFORD, GB, vol. 18, no. 17, March 2000 (2000-03), pages 1847-1854, XP004190065 ISSN: 0264-410X the whole document	1-19
A	BACA-ESTRADA M E ET AL: "Vaccine delivery: lipid-based delivery systems" JOURNAL OF BIOTECHNOLOGY, ELSEVIER SCIENCE PUBLISHERS, AMSTERDAM, NL, vol. 83, no. 1-2, 29 September 2000 (2000-09-29), pages 91-104, XP004212157 ISSN: 0168-1656 the whole document	1-29

INTERNATIONAL SEARCH REPORT

International application No.
PCT/CA 02/01446

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
Although claim 29 is directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the composition.
2. Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

1. As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

Inte
nal Application No
PCT/CA 02/01446

Patent document cited in search report	Publication date		Patent family member(s)	Publication date
WO 9911247	A 11-03-1999		AU 735955 B2 AU 8968498 A CA 2302494 A1 WO 9911247 A1 CN 1277551 T EP 1023054 A1 JP 2001514212 T NZ 503568 A US 5993852 A	19-07-2001 22-03-1999 11-03-1999 11-03-1999 20-12-2000 02-08-2000 11-09-2001 30-03-2001 30-11-1999
US 5993851	A 30-11-1999		US 5853755 A AT 195866 T AU 7343894 A CA 2168260 A1 WO 9503787 A1 DE 69425750 D1 DE 69425750 T2 DK 711148 T3 EP 0711148 A1 ES 2152324 T3 GR 3034777 T3 PT 711148 T SI 711148 T1	29-12-1998 15-09-2000 28-02-1995 09-02-1995 09-02-1995 05-10-2000 26-04-2001 02-01-2001 15-05-1996 01-02-2001 28-02-2001 28-02-2001 28-02-2001
WO 0115726	A 08-03-2001		AU 6813900 A BR 0013834 A WO 0115726 A2 CZ 20021029 A3 EP 1212085 A2 HU 0202327 A2 AU 6715600 A EP 1206250 A2 WO 0113898 A2	26-03-2001 23-04-2002 08-03-2001 14-08-2002 12-06-2002 28-10-2002 19-03-2001 22-05-2002 01-03-2001
WO 9911241	A 11-03-1999		AU 1145699 A CA 2302554 A1 WO 9911241 A1 EP 1009382 A1 JP 2001514208 T	22-03-1999 11-03-1999 11-03-1999 21-06-2000 11-09-2001

THIS PAGE BLANK (USPTO)

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)